

# Advection-Surface Flux Balance Controls the Seasonal Steric Sea Level Amplitude

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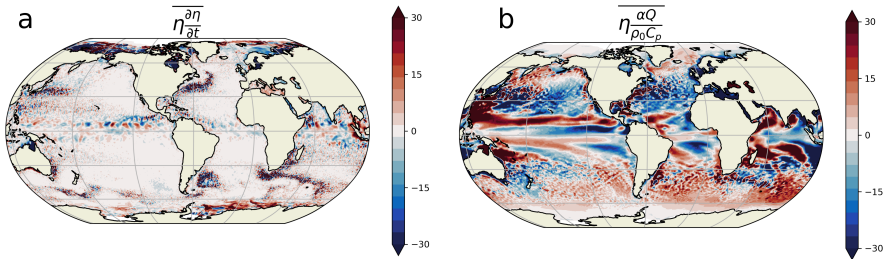
## Abstract

Along with the mean sea level rise due to climate change, the sea level exhibits natural variations at a large number of different time scales. One of the most important is the one linked with the seasonal cycle. In the Northern Hemisphere winter, the sea level is as much as 20 cm below its summer values in some locations. It is customary to associate these variations with the seasonal cycle of the sea surface net heat flux which drives an upper-ocean thermal expansion creating a positive steric sea level anomaly. Here, using a novel framework based on steric sea level variance budget applied to observations and to the Estimating the Circulation and Climate of the Ocean state estimate, we demonstrate that the steric sea level seasonal cycle amplitude results from a balance between the seasonal sea surface net heat flux and the oceanic advective processes. Moreover, for up to 50% of the ocean surface, surface heat fluxes act to damp the seasonal steric sea level cycle amplitude, which is instead forced by oceanic advection processes. We also show that eddies play an important role in damping the steric sea level seasonal cycle. Our study contributes to a

**better understanding of the steric sea level mechanisms which is crucial to ensure accurate and reliable climate projections.**

**Keywords:** seasonal steric sea level, physical mechanisms, surface heat fluxes, ocean advective processes

Global mean sea level (GMSL) rise is one of the most emblematic and objective consequences of current global warming. The rate of GMSL rise reached 3 mm per year over the 1993-2015 period [1]. However, this trend is modulated by a seasonal signal which reaches a magnitude of 4 mm at the end of boreal summer [2], based on satellite altimetry data. The main drivers of GMSL rise are global ocean mass increase (i.e., barystatic sea level [3]) and global ocean density change (global mean steric sea level). The latter is primarily controlled by global ocean warming. Although global mean sea level is highly relevant as a climate index, coastal population are more affected by regional sea level variations. These regional variations are usually much larger than the yearly increase of GMSL. Locally, the sea level seasonal cycle can indeed have amplitudes up to 20 cm in some regions [4], and are thus comparable to the magnitude of the GMSL rise over the last century [5, 6]. It is therefore of primary importance to understand their mechanisms, in order to ensure that they are correctly represented in climate models and that future estimates are accurate. Once corrected for the atmospheric pressure effect, these regional sea level variations are mostly associated with steric sea level (SSL) variations: they owe their existence to the seasonal variations of the oceanic density field [8]. Previous studies based on the scale analysis of the SSL variations equation [8–12] have found that these variations are mainly driven by the seasonal variations of net heat flux to the ocean. During the Northern and Southern Hemisphere summer months, this anomalous net heat flux (i.e.,



**Fig. 1 Observations reveal that the sea level seasonal cycle cannot be solely explained by surface net heat flux variations.** Time average (1993-2014) of the product between the two terms of Equation (1) and seasonal variations in SSL ( $\eta$ ): (a) left hand side (i.e.  $\eta \frac{\partial \eta}{\partial t}$ ) (in  $\text{cm}^2 \text{yr}^{-1}$ ) where  $\eta$  is approximated by satellite altimetry [7] and (b) right hand side (i.e.  $\eta \frac{\alpha Q}{\rho_0 C_p}$ ) (in  $\text{cm}^2 \text{yr}^{-1}$ ) where  $Q$  is obtained from the ERA5 reanalysis. The lhs term (a) is different from the rhs term (b), thus demonstrating that important terms are missing in Equation (1).

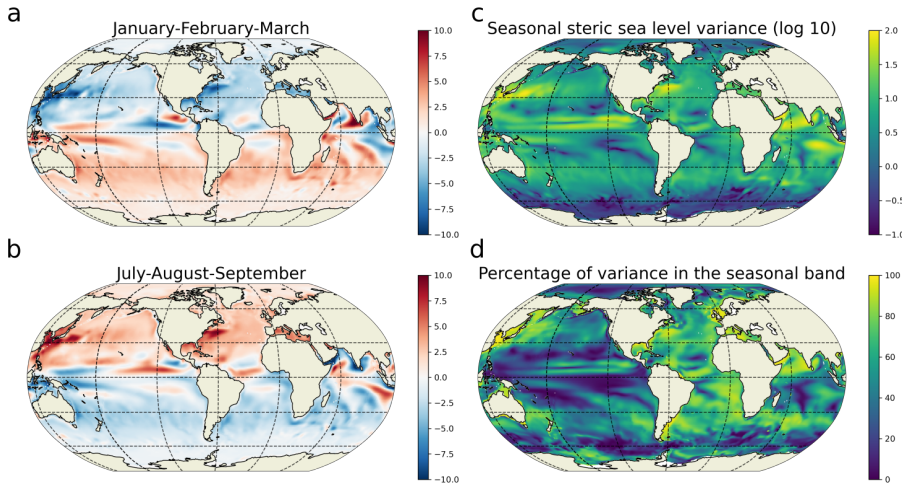
57 “warming”) leads to a thermal expansion of the water column inducing a pos-  
 58 itive anomaly of SSL. Changes in the steric component of the sea level  $\eta$  are  
 59 assumed to be given at first order by [10]:

$$\partial_t \eta \approx \frac{\alpha Q}{\rho_0 C_p}, \quad (1)$$

60 where  $Q$  is the anomalous sea surface net heat flux,  $\alpha$  the thermal expan-  
 61 sion coefficient,  $\rho_0$  the reference density, and  $C_p$  the specific heat of seawater.  
 62 The reconstruction of the SSL from the time integration of Equation (1) is  
 63 generally found to be well correlated with the true SSL everywhere except at  
 64 low latitudes [10], where the effect of wind and baroclinic Rossby wave prop-  
 65 agation needs to be included [13–19]. These positive correlations are often  
 66 interpreted as the signature of the leading role of the sea surface seasonal heat  
 67 flux anomalies in driving the seasonal SSL variations [4, 8, 10, 12, 20–24]. The  
 68 underlying assumption behind Equation (1) is that the SSL seasonal cycle is  
 69 a passive local response of the ocean to the seasonal cycle in surface net heat  
 70 flux. Multiplying the SSL time variations equation by  $\eta$  yields an equation for  
 71 the time tendencies of  $\eta$  squared i.e. for the amplitude of the seasonal cycle.

4 *Seasonal steric sea level cycle*

72 This equation allows us to determine which of the terms are acting to increase  
73 or decrease the amplitude of the cycle. Considering Equation (1), if  $\overline{\eta \frac{\alpha Q}{\rho_0 C_p}} > 0$ ,  
74 the amplitude increases and if  $\overline{\eta \frac{\alpha Q}{\rho_0 C_p}} < 0$ , the amplitude decreases (where the  
75 over bar represents the time mean). As Equation (1) has only two terms, they  
76 should remain equal when multiplied by  $\eta$ . However, we show in Figure 1,  
77 using a combination of satellite altimetry (AVISO [7]) and surface net heat flux  
78 from the ECMWF ERA5 reanalysis [25] that, when multiplied by  $\eta$  and time  
79 averaged over the period 1993-2014, the left hand side term of Equation (1)  
80 is not equal to its right hand side term as it should be if Equation (1) was  
81 complete (see Methods for more details about this calculation). Moreover,  
82 the magnitude of the right hand side term is around  $30 \text{ cm}^2 \text{ yr}^{-1}$  (Figure 1b)  
83 everywhere while the SSL variance magnitude is around  $100 \text{ cm}^2$  (see Fig. 2c).  
84 It follows that without any other term in Equation (1) a time scale of 3 to 4  
85 years ( $100 \text{ cm}^2 / 30 \text{ cm}^2 \text{ yr}^{-1}$ ) would be sufficient to completely modify the present  
86 SSL seasonal cycle. Additionally, Figure 1b shows that large regions of the  
87 ocean have negative values of  $\overline{\eta \frac{\alpha Q}{\rho_0 C_p}}$  suggesting that the amplitude of the SSL  
88 seasonal cycle is, in large parts of the ocean and across all latitudes, damped  
89 by the seasonal heat flux, implying that several important terms are missing  
90 in Equation (1). This strongly contrasts with the Sea Surface Temperature  
91 (SST) seasonal cycle amplitude which is virtually everywhere forced by the  
92 seasonal net heat flux i.e.  $\overline{\frac{SSTQ}{\rho_0 C_p}} > 0$  (see Fig. S1 of the supporting informa-  
93 tion) as already noticed by several authors [17, 26]. Understanding what are  
94 the missing term(s) in the equation controlling the amplitude of the SSL sea-  
95 sonal cycle and explaining why the atmospheric net heat flux can dampen the  
96 SSL seasonal cycle in some regions are the two main objectives of this work.  
97 While the interannual variability and long-term trend of SSL have been often  
98 investigated in the previous years [27–30], the seasonal cycle of SSL has



**Fig. 2** Seasonal SSL cycle in ECCOv4r3 1993-2014. (left) Seasonal SSL anomaly (in cm) averaged for January-February-March (a) and July-August-September (b). (right) Log10 of the variance (cm<sup>2</sup>) of the seasonal SSL anomalies in ECCOv4r3 over the 1993-2014 period (c) and percentage of the total variance explained by the seasonal cycle (d).

99 received much less attention. Hence, here, we apply for the first time a power-  
 100 ful diagnostic to characterize the drivers of the SSL seasonal cycle and describe  
 101 the dynamics of its sources and sinks. This diagnostic is based on steric sea  
 102 level variance budget. It has recently been developed and applied to interan-  
 103 nual steric sea level variations to understand their mechanisms [30]. Variance  
 104 budgets are a common tool in physics and have been widely applied to vari-  
 105 ous variables (density, temperature, salinity) in the oceanographic literature in  
 106 the past [31–37]. This diagnostic is constructed in a similar way to the kinetic  
 107 energy budget, and is a rigorous way to assess the mechanisms controlling the  
 108 steric sea level variability.

## 109 Budget of seasonal SSL variance

110 To investigate the balance controlling the amplitude of the seasonal cycle of  
 111 regional SSL, we compute the budget of variance over 1993-2014 based on the  
 112 ECCOv4r3 state estimate [38]. The seasonal cycle of each variable is obtained

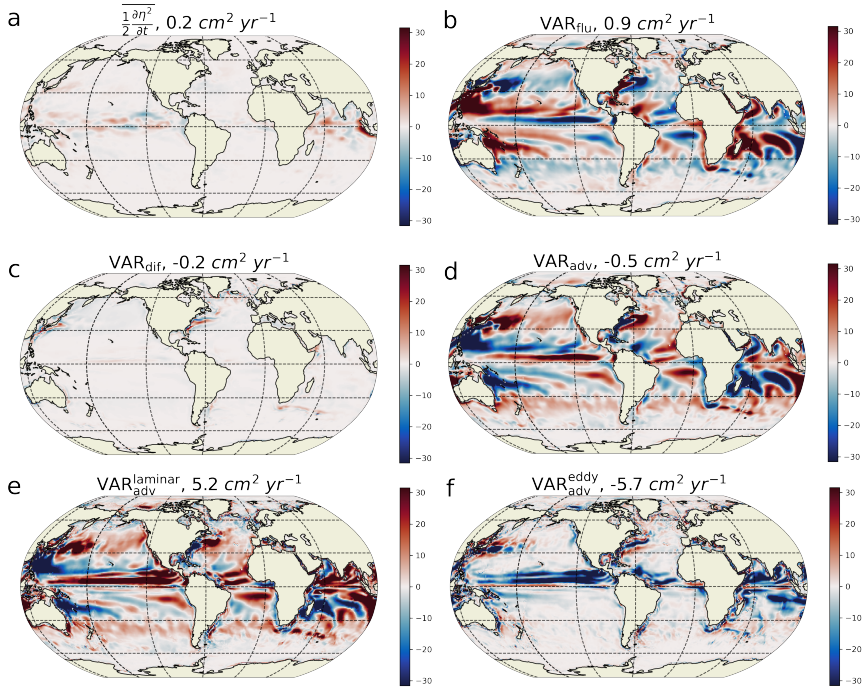
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113 from the time mean of the 22 years monthly time series (see Methods). At mid  
 114 and high latitudes, in the Northern (Southern) Hemisphere, the seasonal SSL  
 115 anomaly is negative (positive) ( $\sim 10$  cm) in boreal winter while it is positive  
 116 (negative) in summer (Fig. 2a,b). Close to the equator in each hemisphere, the  
 117 anomaly can be positive or negative over the two periods of time, depending  
 118 on the location. Western boundary currents, such as the Kuroshio and the  
 119 Gulf Stream, also have a strong signature in SSL seasonal cycle. The largest  
 120 values of the seasonal SSL variance are of the order of  $10^2$  cm<sup>2</sup> (Fig. 2c) and  
 121 are found at low latitude in the Eastern Pacific, in the North Indian Ocean and  
 122 the South Equatorial Current regime of the Indian Ocean and in the northern  
 123 hemisphere western boundary currents. The seasonal SSL variance represents  
 124 a large proportion (i.e., above 50%) of the total variance in numerous regions  
 125 (Fig. 2d). This is particularly true in the Atlantic Ocean across all latitudes  
 126 and in western boundary currents of the Pacific Ocean as well as in the Arabian  
 127 Sea and western Bay of Bengal.

128 The variance budget for the seasonal cycle of the SSL ( $\eta$ ) is composed of  
 129 four different terms (see section SSL variance budget in Method):

$$\overline{\frac{1}{2} \frac{\partial \eta^2}{\partial t}} = \text{VAR}_{\text{adv}} + \text{VAR}_{\text{dif}} + \text{VAR}_{\text{flu}}. \quad (2)$$

130 The overline represents the time average over the ECCOv4r3 period, the left  
 131 hand side term is the averaged time evolution variance of the seasonal cycle of  
 132 the SSL. On the right hand side,  $\text{VAR}_{\text{adv}}$  is the effect of the oceanic advective  
 133 terms,  $\text{VAR}_{\text{dif}}$  the effect of parameterized diffusion (including isoneutral and  
 134 dianeutral mixing), convective adjustment and background vertical mixing,  
 135 and  $\text{VAR}_{\text{flu}}$  is the effect of the seasonal cycle of the ocean surface buoyancy

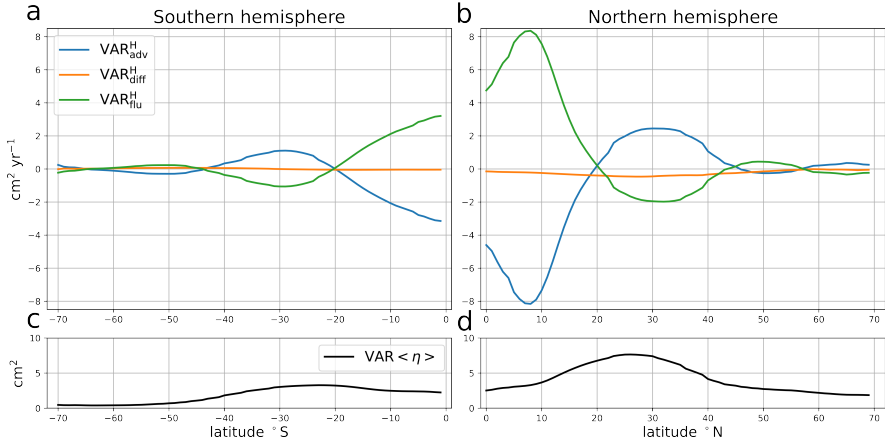


**Fig. 3 Seasonal SSL variance budget.** (a) Time mean of the time tendencies of the SSL seasonal variance (in  $\text{cm}^2 \text{yr}^{-1}$ ). (b) Contribution of the ocean surface buoyancy fluxes. (c) Contribution of the diffusive terms on the budget. (d) Contribution of the advective term. Positive values (red) indicates that the corresponding term acts to increase the seasonal SSL variance, negative values (blue) that it acts to decrease the variance. The advective term ( $\text{VAR}_{\text{adv}}$ ) is further decomposed into the advection by (e) the resolved “laminar” velocities ( $\text{VAR}_{\text{adv}}^{\text{laminar}}$ ) and (f) the eddy-induced velocities from the GM parameterization ( $\text{VAR}_{\text{adv}}^{\text{eddy}}$ ). The global average of each term is given in the corresponding titles.

136 fluxes. For instance, a positive  $\text{VAR}_{\text{flu}}$  indicates a local source of SSL vari-  
 137 ance because it acts to increase the SSL variance (i.e. the SSL amplitude). On  
 138 the contrary, if it is negative, it acts to decrease the variance: it is a sink of  
 139 SSL variance. The most striking feature in the budget (Fig. 3) is the strong  
 140 local compensation between the two dominant terms, the buoyancy forcing  
 141 term ( $\text{VAR}_{\text{flu}}$ ) and the advective term ( $\text{VAR}_{\text{adv}}$ ), both reaching values up to  
 142  $\pm 30 \text{cm}^2 \text{yr}^{-1}$ . It is shown in the supplementary information file that  $\text{VAR}_{\text{flu}}$   
 143 is largely dominated by the net heat flux, in agreement with the literature  
 144 (Fig. S2). On the other hand, the diffusive term ( $\text{VAR}_{\text{dif}}$ ) is approximately  
 145 one order of magnitude smaller (bounded by  $\pm 3 \text{cm}^2 \text{yr}^{-1}$ ), and can then be

146 ignored in the overall main balance of the cycle. The term associated with the  
 147 time tendency of the seasonal cycle SSL variance is also an order of magnitude  
 148 smaller than the other terms, but is not exactly zero. This is explained by the  
 149 methodology used to extract the seasonal cycle as explained in section “Methods”.  
 150 This term remains much smaller than the dominant terms of the budget  
 151 and can be neglected. Figure 3 demonstrates that the main balance in the  
 152 seasonal SSL variance budget is between the advective and buoyancy forcing  
 153 terms. It contrasts strongly with Equation (1), from which a constant amplitude  
 154 could only be attained if  $\overline{\eta Q} = 0$ , which is clearly not the case in Fig. 1b as  
 155 well as in Fig. 3b. The fact that  $\overline{\eta Q} \neq 0$  implies that  $\frac{\partial \eta}{\partial t}$  is not exactly in phase  
 156 with  $Q$ . The time lag between these two terms is estimated to be between 2  
 157 and 3 weeks depending on the location (supplementary file Fig. S3). Although  
 158 this time lag may seem small, it represents between 15% and 25% of the time  
 159 lag required for these two terms to be in quadrature, which is about 13 weeks  
 160 (i.e. a quarter of an annual period). This relatively small time lag is sufficient  
 161 to induce the large values of  $\text{VAR}_{\text{flu}}$  found in Fig. 1b and 3b. Moreover, the  
 162 seasonal cycle of the ocean surface buoyancy fluxes acts as a sink of seasonal  
 163 SSL variance over 49% of the ocean surface. In these regions, the seasonal cycle  
 164 of the buoyancy flux damps the seasonal cycle of SSL instead of sustaining it  
 165 in regions where it is positive. The locations where  $\text{VAR}_{\text{flu}}$  acts as a sink are  
 166 relatively symmetric with respect to the equator: they are found between 30°N  
 167 and 60°N and between 30°S and 60°S in every oceans, in the eastern half of the  
 168 Pacific, Atlantic, and Indian Oceans at low latitudes. Very similar patterns are  
 169 found using ERA5 and satellite altimetry (Fig. 1b) which gives us confidence  
 170 in the results obtained from ECCO v4. The only notable differences are found  
 171 in the eddy-rich regions, such as the Southern Ocean, which are not resolved  
 172 by the laminar resolution of the ECCO v4. We find that the variance budget





**Fig. 4 Variance Budget for the spatially averaged Seasonal SSL.** Panel a: Terms of the variance budget (in  $\text{cm}^2 \text{yr}^{-1}$ ) for the spatially averaged SSL over Southern Hemisphere regions with latitudes south of the latitude given by the abscissa value. Panel b: same but for Northern Hemisphere regions with latitudes north of the abscissa value. The Contributions of the advective term (blue line), time mean of the time tendencies of the SSL seasonal variance (orange line), diffusive terms (green line) and of the ocean surface buoyancy fluxes (red line) are shown. Panel c: variance of the regionally averaged SSL seasonal cycle for latitudes south of the abscissa value (in  $\text{cm}^2$ ), Panel d: same but for the northern hemisphere (i.e. latitudes north of the abscissa value).

173 is very similar when all frequencies resolved by ECCO v4 are considered (i.e.  
 174 when the mean seasonal cycle is not extracted) (see Fig. S4 of the support-  
 175 ing information). This first shows that the SSL variance fluxes linked with the  
 176 mean seasonal cycle dominate the SSL variance budget at all frequencies and  
 177 secondly demonstrates that our results do not depend on the methodology  
 178 used to extract the mean seasonal cycle. The horizontal average of each term,  
 179 given in the titles of Fig. 3, shows that globally, the surface buoyancy flux is a  
 180 source ( $0.9 \text{ cm}^2 \text{yr}^{-1}$ ) that is partially balanced by advection ( $-0.5 \text{ cm}^2 \text{yr}^{-1}$ )  
 181 over the period 1993-2014 (global mean diffusion is  $-0.2 \text{ cm}^2 \text{yr}^{-1}$ , being a net  
 182 sink, and the variance time tendency is  $0.2 \text{ cm}^2 \text{yr}^{-1}$ ).

183 To investigate the large-scale mechanisms of seasonal SSL variations, we  
 184 also compute the variance equation for the hemispheric average of the SSL as  
 185 follows (see Methods):

$$\frac{1}{2} \frac{\overline{\partial \langle \eta \rangle^2}}{\partial t} = \text{VAR}_{\text{adv}}^{\text{H}} + \text{VAR}_{\text{dif}}^{\text{H}} + \text{VAR}_{\text{flu}}^{\text{H}}, \quad (3)$$

186 where  $\langle \eta \rangle$  is the hemispheric average of the seasonal SSL,  $\text{VAR}_{\text{adv}}^{\text{H}}$ ,  
 187  $\text{VAR}_{\text{dif}}^{\text{H}}$ ,  $\text{VAR}_{\text{flu}}^{\text{H}}$  respectively the contribution of oceanic advection, diffusion  
 188 and buoyancy flux. The hemispheric averages are computed over several regions  
 189 bounded by different latitudes. For the northern hemisphere the averaging  
 190 region is defined by all locations with latitudes north of a given latitude, which  
 191 varies from 0°N to 70°N. Similarly, for the southern hemisphere, the averaging  
 192 ing region is defined by all locations with latitudes south of a given latitude,  
 193 which varies from 70°S to 0°S. The results show that the large-scale seasonal  
 194 SSL variations obey a similar balance as for the local variance budget, with  
 195 the oceanic advective terms and the buoyancy flux term being the two main  
 196 contributors to the budget in both hemispheres (Fig. 4a, b). The balance in  
 197 both hemispheres is nearly symmetric around 8°N, although the amplitudes  
 198 of the averaged SSL variance budget terms are about twice as large in the  
 199 Northern Hemisphere (NH) as in the Southern Hemisphere (SH). When the  
 200 region encompasses the entire hemisphere (corresponding to latitude 0°N for  
 201 the northern hemisphere and 0°S for the southern hemisphere in Fig.4), the  
 202 main source is attributed to the buoyancy flux term, which is mainly due to  
 203 net heat flux ( $3 \text{ cm}^2 \text{ yr}^{-1}$  in the SH,  $5 \text{ cm}^2 \text{ yr}^{-1}$  in the NH), while the main sink  
 204 is associated with the advective term ( $-3 \text{ cm}^2 \text{ yr}^{-1}$  in the SH,  $-5 \text{ cm}^2 \text{ yr}^{-1}$   
 205 in the NH). Although the amplitude of the seasonal variations of the hemi-  
 206 spheric averages of the advective term ( $\langle \text{adv} \rangle$ , see Eq. (14) in “Methods”),  
 207 is almost 3 times weaker than that of the hemispheric averages of the surface  
 208 fluxes ( $\langle \text{flu} \rangle$ ),  $\langle \text{adv} \rangle$  is almost in phase with  $\langle \eta \rangle$  and can balance the  
 209 effect of  $\langle \text{flu} \rangle$ , which is almost in quadrature with  $\langle \eta \rangle$  (see Fig. S5 of  
 210 the supplementary file). For a region bounded to the North by 30°S for the

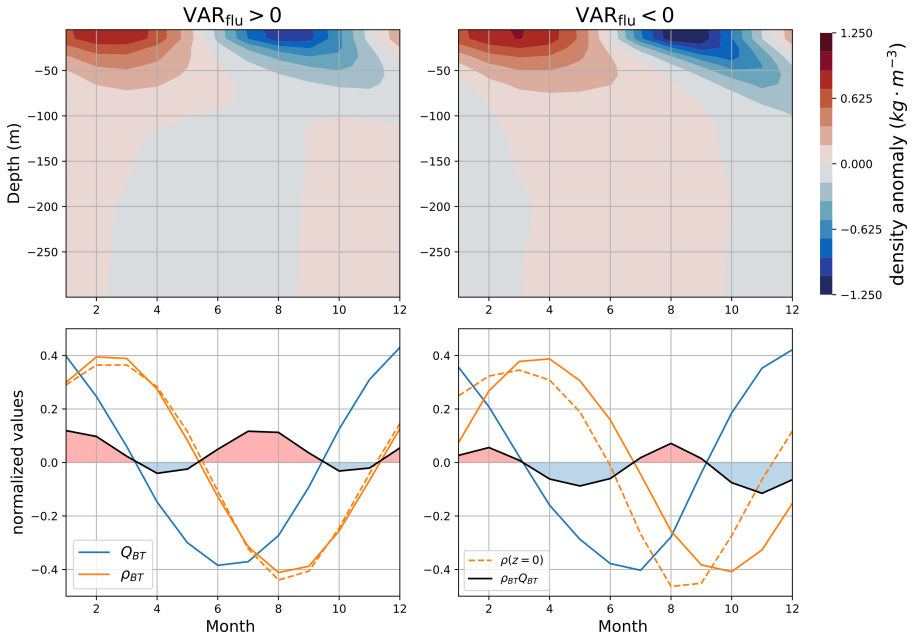
211 Southern hemisphere and to the South by 30°N for the Northern hemisphere,  
 212 the advection term is the main source of SSL seasonal variance (1 cm<sup>2</sup> yr<sup>-1</sup> in  
 213 the SH, 2.5 cm<sup>2</sup> yr<sup>-1</sup> in the NH) and is balanced by the surface buoyancy flux  
 214 term. The variance of the regionally averaged SSL is between 3 and 8 cm<sup>2</sup> in  
 215 the NH and between 1 and 4 cm<sup>2</sup> in the SH (Fig. 4c, d) As for the local vari-  
 216 ance budget, calculating the ratio of the regionally averaged SSL variance to  
 217 the budget term values gives the time scale that would be sufficient to com-  
 218 pletely change the characteristics of the current regional SSL seasonal cycle.  
 219 This time scale is less than one year for the entire NH and SH regions, and  
 220 about 3 years with a southern/northern boundary located at 30°S and 30°N.  
 221 This demonstrates the importance of the balance between the advective terms  
 222 and the surface buoyancy fluxes in determining the amplitude of the regional  
 223 SSL seasonal cycle.

## 224 **The vertical structure of the density anomaly** 225 **controls the sign of VAR<sub>flu</sub>**

As shown in methods, VAR<sub>flu</sub> is proportional to the product of the vertically-  
 averaged density seasonal anomaly ( $\rho_{BT}$ ) and the vertically-averaged buoyancy  
 anomaly which is mostly due to the net heat flux ( $Q_{BT}$ , see Fig. S2):

$$\text{VAR}_{\text{flu}} \propto \overline{\rho_{BT} Q_{BT}}, \quad (4)$$

226 this formula demonstrates that the sign of VAR<sub>flu</sub> depends on the correlation  
 227 between these two fields. We show (Fig. 5) the density anomaly in the first  
 228 300 m as a function of time and depth, averaged North of 20°N in regions where  
 229 VAR<sub>flu</sub> > 0 (left column) and in regions where VAR<sub>flu</sub> < 0 (right column).  
 230 We average North of 20°N to ensure that the density anomalies have the same



**Fig. 5** The vertical structure of density anomalies controls the sign of the surface buoyancy flux contribution to SSL variance ( $\text{VAR}_{\text{flu}}$ ). Top panels: density anomaly (in  $\text{kg m}^{-3}$ ) as a function of depth and time (month). Bottom panels: time variation of the vertically-averaged density (orange line), vertically-averaged net heat flux (blue line) and product of the two terms, i.e.,  $\rho_{BT}Q_{BT}$  (black line,  $\propto \text{VAR}_{\text{flu}}$ ). The surface density (orange dashed) is also shown. Each time series is normalized, red shading indicates where  $\text{VAR}_{\text{flu}}$  is positive and blue shading where it is negative. The left and right columns show respectively the Northern Hemisphere values averaged at locations where  $\text{VAR}_{\text{flu}} > 0$  and where  $\text{VAR}_{\text{flu}} < 0$ .

231 phase everywhere, but the same results hold for the Southern Hemisphere.  
 232 The propagation of the density anomaly to deeper depths is not instantaneous  
 233 during the seasonal cycle (top panels Fig. 5) which implies that the vertically-  
 234 averaged density anomalies are not necessarily in phase with the surface density  
 235 anomaly. On the contrary, the atmospheric buoyancy flux is confined to the  
 236 first 50 m and has a coherent phase with depth. Thus the sign of  $\text{VAR}_{\text{flu}}$   
 237 is controlled by the vertical structure of the density anomalies. The surface  
 238 density is almost everywhere positively correlated with  $Q_{BT}$  (supplementary  
 239 Fig. S6). However, regions where the vertically-averaged density anomaly is  
 240 influenced by shifted deeper density anomalies are associated with negative  
 241 values of  $\text{VAR}_{\text{flu}}$  (right column Fig. 5). The comparison of the time evolution

242 of the vertically-averaged density (orange line, bottom panels Fig. 5) with  
 243 the vertical integral of the seasonal buoyancy flux (blue line, Fig. 5) reveals  
 244 a different behavior between regions where  $\text{VAR}_{\text{flu}} > 0$  and regions where  
 245  $\text{VAR}_{\text{flu}} < 0$ . In regions where  $\text{VAR}_{\text{flu}}$  is a source (positive), the vertically-  
 246 averaged density anomaly follows the vertical integral of the seasonal cycle of  
 247 buoyancy flux (blue line) with a delay of approximately two months. The time  
 248 series of the product between  $\rho_{BT}$  and  $Q_{BT}$  is then strongly positive in boreal  
 249 winter and summer (months 11 to 3 and months 6 to 9) and weakly negative for  
 250 the remaining periods, resulting in an overall positive time average ( $\text{VAR}_{\text{flu}} >$   
 251 0). Moreover, the vertically-averaged density is almost indistinguishable from  
 252 the surface density (orange dashed line). In regions where  $\text{VAR}_{\text{flu}}$  is a sink  
 253 (negative), the vertically-averaged density anomaly is shifted away from the  
 254 vertical integral of the seasonal buoyancy flux because of the influence of deeper  
 255 density anomalies. The delay between the vertical integral of the seasonal  
 256 cycle of buoyancy flux and the vertically-averaged density is then larger ( $\sim 4$   
 257 months) than for the previous case and induces large negative values of the  
 258 product between  $\rho_{BT}$  and  $Q_{BT}$  in boreal spring and autumn (months 3 to 6  
 259 and months 9 to 12). Unlike the previous case, the vertically-averaged density  
 260 is also shifted with respect to the surface density. It is therefore the influence  
 261 of deeper density anomalies that explains why  $\text{VAR}_{\text{flu}}$  is a sink (negative) over  
 262 large areas of the ocean.

## 263 Eddies dampen the seasonal SSL cycle

264 To understand the role of eddies in  $\text{VAR}_{\text{adv}}$  (Fig. 3d), this term is decomposed  
 265 into two terms (see section Methods):

$$\text{VAR}_{\text{adv}} = \text{VAR}_{\text{adv}}^{\text{laminar}} + \text{VAR}_{\text{adv}}^{\text{eddy}}. \quad (5)$$

266  $\text{VAR}_{\text{adv}}^{\text{laminar}}$  is linked to the advection of density by the resolved “laminar”  
 267 currents, whereas  $\text{VAR}_{\text{adv}}^{\text{eddy}}$  is linked to the advection by the eddy-induced  
 268 velocities as parameterized in Gent and McWilliams [39].  $\text{VAR}_{\text{adv}}^{\text{laminar}}$  is impor-  
 269 tant almost everywhere (Fig. 3e) and can be a source or a sink of seasonal SSL  
 270 variability depending on the region. Its pattern is similar to that of  $\text{VAR}_{\text{adv}}$   
 271 (Fig. 3d), except around the equator and in western intensified boundary cur-  
 272 rents. When horizontally averaged, it is the largest source for the seasonal cycle  
 273 ( $5.2 \text{ cm}^2 \text{ yr}^{-1}$ ). At the global scale, this source is almost exactly compensated  
 274 by the sink made by the term linked with eddies  $\text{VAR}_{\text{adv}}^{\text{eddy}}$  ( $-5.7 \text{ cm}^2 \text{ yr}^{-1}$ ).  
 275 The Gent & McWilliams parameterization [39] mimics the fact that isopycnals  
 276 slopes are baroclinically unstable and eddy kinetic energy is created through  
 277 the release of potential energy. This eddy parameterization leads to a damp-  
 278 ing of seasonal SSL variance. Locally,  $\text{VAR}_{\text{adv}}^{\text{eddy}}$  (Fig. 3f) is strongly negative  
 279 ( $\sim -30 \text{ cm}^2 \text{ yr}^{-1}$ ) in the equatorial regions of the Pacific, Atlantic, and Indian  
 280 Oceans. There, it significantly compensates the laminar advection term. In line  
 281 with our results, but for a different timescale, it has recently been shown that,  
 282 in the Equatorial Pacific, ENSO variability is inhibited by mesoscale eddies  
 283 [40]. It also has large values in the Gulf Stream and Kuroshio regions where it  
 284 can either be a source or a sink of SSL variance.

## 285 Discussion

286 Based on a new variance budget framework, we have demonstrated in this arti-  
 287 cle that the amplitude of the SSL seasonal cycle is controlled both at local  
 288 scale and at the hemispheric scale by a balance between the oceanic advec-  
 289 tion and surface buoyancy forcing terms. . The diffusive and variance tendency  
 290 terms are an order of magnitude smaller. At mid latitudes and in the eastern  
 291 parts of low latitudes regions, the seasonal cycle of the buoyancy fluxes even

292 acts to damp the amplitude of the seasonal cycle of SSL instead of sustaining  
293 it. In these regions, the main source of SSL seasonal variability is associated  
294 with oceanic advective terms. . Whether the surface buoyancy fluxes are a  
295 source or a sink of SSL cycle variance depends on the vertical structure of the  
296 density anomalies. Buoyancy fluxes are a source of variance in regions where  
297 the vertically-averaged density is controlled by surface values of density. On  
298 the contrary, buoyancy fluxes become a sink of variance in regions where den-  
299 sity anomalies are controlled by sub-surface density anomalies. The horizontal  
300 average of the local variance budget shows that the seasonal ocean surface  
301 buoyancy flux is a source for the seasonal cycle of SSL ( $+0.9 \text{ cm}^2 \text{ yr}^{-1}$ ), while  
302 advective terms (and diffusive terms) are a sink (respectively  $-0.5 \text{ cm}^2 \text{ yr}^{-1}$   
303 and  $-0.2 \text{ cm}^2 \text{ yr}^{-1}$  ). We also show for the first time that eddies are a major  
304 sink of the seasonal cycle of SSL close to the equator. The horizontal resolution  
305 of the ECCOv4 reanalysis ( $1^\circ$  in average) does not, however, explicitly resolve  
306 eddies and their effect is only parameterized. Further studies are thus needed  
307 to better understand their exact contribution to the seasonal cycle of SSL, in  
308 particular for western boundary currents and the Southern ocean where the  
309 turbulent field is known to imprint itself strongly on the SSL [41]. In higher  
310 resolution models, global or regional, a similar methodology could be used to  
311 study the seasonal cycle mechanisms at different space scales and determine  
312 whether different mechanisms are at play. In this work we have decomposed  
313 the oceanic advective terms into two parts: resolved and eddy induced advec-  
314 tion. A previous study of the mechanisms of interannual variations in steric  
315 sea level has shown that the advective term can be decomposed into several  
316 terms each associated with different physical mechanisms [30]. A perspective  
317 is thus to apply the same framework to the study of the seasonal variations  
318 in SSL. Although we have shown that ECCOv4 is able to reproduce most of

319 the patterns associated with the buoyancy flux term in the variance equation  
320 (Figure 1a and Figure 3b) giving us confidence in our results, a limitation of  
321 this work is the use of a single model which may be associated with substantial  
322 error model. Future work should therefore focus on reproducing our results in  
323 different numerical models. Another limitation of our study is the focus on the  
324 steric sea level. Steric sea level is the major component of sea level variations  
325 at low and mid latitudes but seasonal variations in manometric sea level are  
326 important at high latitudes and in shallow water regions. Therefore, future  
327 studies should also investigate the mechanisms of this component. One impor-  
328 tant implication of our results is that the amplitude of the sea level seasonal  
329 cycle is potentially much more sensitive to anthropogenic climate change than  
330 previously assumed. It will indeed be sensitive to changes in the advective  
331 and net heat flux seasonal cycle (whether as a source or a sink), as well as to  
332 changes in the oceanic mean circulation and eddy field. Numerous studies have  
333 demonstrated that important changes in oceanic mean circulation are under-  
334 way [42]. Studying how the SSL seasonal cycle is modified by anthropogenic  
335 climate change is thus a subject of primary importance and we hope that the  
336 methodology developed in this work will help to shed light on this matter.

## 337 **Method**

### 338 **Assessment of the SSL variance budget from Equation** 339 **(1) in observations**

340 In this subsection, we describe the methodology used to evaluate the SSL  
341 variance equation stemming from Equation (1) in observations and to obtain  
342 Figure 1. We use the sea level anomaly (SLA) observed by satellite altimetry  
343 (AVISO [7]) to approximate the seasonal steric sea level. It has been shown



[8] that seasonal regional variations of sea level are mostly due to the steric sea level and we also show, using the ECCO v4r3 state estimate (more details about this state estimate are given in the following subsection), that this approximation holds almost everywhere at low and mid latitudes except in some semi-enclosed seas (see Figure S7 of the supplementary file). The AVISO product used has a  $1/4^\circ$  horizontal resolution and we select the period 1993-2014 to be consistent with the analysis performed in the remainder of this article. Following a common practice in oceanography e.g. [27–29], the mean SLA seasonal cycle is derived by first removing the 1993-2014 trend and then by computing the time mean for each individual month resulting in 12 monthly values. The time tendencies of  $\eta$  are obtained by differentiating the daily values of  $\eta$  at the start and end of each month divided by the number of days. The mean seasonal cycle of  $\eta$  time tendencies is then computed from its monthly time series. The time average of the product between  $\eta$  and  $\partial_t \eta$  gives figure 1a. To obtain Figure 1b, the net heat flux is first computed from the sum of the net short wave, net long wave, latent and sensible heat fluxes, given by ERA5 [25] on a  $1/4^\circ$  grid. The seasonal cycle of  $Q$  is extracted following the same procedure as for  $\eta$ . To compute the term  $\overline{\eta \frac{\alpha Q}{\rho_0 C_p}}$  shown in Figure 1, we use the following coefficients:  $\rho_0 = 1028 \text{ kg m}^{-3}$  and  $C_p = 4000 \text{ J kg}^{-1} \text{ K}^{-1}$ . The thermal expansion coefficient  $\alpha$  is computed from the ECCOv4r3 surface temperature and surface salinity, then time averaged over 1993-2014 as well as zonally averaged so that the resulting coefficient is only a function of latitude. We have also checked (Figure S8 of the supplementary file) that a different choice of net heat flux database (OAFflux [43]) leads to very similar results.

Note that the methodology used in this article and in many different studies to extract the mean seasonal cycle implies that the product of  $\eta$  and  $\partial_t \eta$  is not exactly zero. The mean seasonal cycle of the time tendencies of  $\eta$  is

indeed not exactly equal to the time tendencies of the mean seasonal cycle of  $\eta$ . If the amplitude of the seasonal cycle increases or decreases over the 22 years of the ECCOv4 period, then the product  $\overline{\eta \frac{\partial \eta}{\partial t}}$  will reflect the mean rate of this change. Additionally, the various approximations used by AVISO to construct daily SLA on a regular 2D grid from an ensemble of inter-calibrated altimeter missions and the use of SLA to approximate SSL seasonal variations also contribute to the fact that  $\eta \frac{\partial \eta}{\partial t}$  is not exactly zero. However, Figure 1a shows that this term has amplitudes and patterns that are very different from the term linked with the net heat flux (Figure 1a) and it therefore demonstrates that Equation (1) is not complete.

### The ECCOv4r3 dataset

Seasonal SSL variance budgets are computed using the ECCOv4r3 state estimate which covers the period 1992-2017. This state estimate is the output of the Massachusetts Institute of Technology general circulation model (MIT-gcm) assimilating available observations for the period 1992 to 2017 [38]. The advantage of ECCOv4 is that it satisfies the equation of motion and conservation laws hence making it possible to compute tracer budgets. The solution used in this article is computed on the LLC90 grid which has an average horizontal resolution of 1° and 50 vertical levels. Outputs of the model consist of one month average and the closed budget can be obtained for the 1993-2014 period. Thus we compute the SSL budget over this 1993-2014 period. Snapshots at the start and end of each month are also provided by ECCO in order to compute the tracer time tendencies required to close the budgets. ECCO has already been used in several past studies to compute budget of steric sea level variations [27–30].

396 For each variable from the monthly model outputs, at each gridpoint, the  
 397 seasonal cycle is obtained by first removing the linear trend over the 1993-  
 398 2014 period, and then by computing the 12 monthly values as average of the  
 399 respective monthly anomalies over the 22 years. The SSL seasonal cycle studied  
 400 here is thus an average of the seasonal cycle over the 22 years of the 1993-2014  
 401 period.

## 402 Seasonal SSL variance budget

403 The seasonal SSL anomaly  $\eta$  is expressed as the vertical integral of the seasonal  
 404 density anomaly  $\rho$  as follows:

$$\eta = -\frac{1}{\rho_0} \int_{-H}^0 \rho \, dz, \quad (6)$$

405 where  $\rho_0 = 1029 \text{ kg m}^{-3}$ . The evolution equation for  $\eta$  is then simply obtained  
 406 as the time derivative of (6):

$$\frac{\partial \eta}{\partial t} = -\frac{1}{\rho_0} \int_{-H}^0 \frac{\partial \rho}{\partial t} \, dz. \quad (7)$$

407 Following [30], the time evolution of  $\rho$  can be decomposed into the time  
 408 evolution of the potential temperature  $\theta$  and salinity  $S$  as:

$$\frac{\partial \rho}{\partial t} = -\rho_0 \alpha \frac{\partial \theta}{\partial t} + \rho_0 \beta \frac{\partial S}{\partial t}, \quad (8)$$

where  $\alpha = -\frac{1}{\rho_0} \frac{\partial \rho}{\partial \theta}$  is the thermal expansion coefficient and  $\beta = \frac{1}{\rho_0} \frac{\partial \rho}{\partial S}$  is the  
 haline contraction coefficient and vary in space and time according to the tem-  
 perature, salinity and pressure fields. Then, the ECCO V4r3 state estimate  
 gives all the necessary terms to decompose the potential temperature and salin-  
 ity evolution equation into advection, diffusion and surface fluxes terms (net

heat flux for the potential temperature and freshwater flux for the salinity):

$$\left(\frac{\partial\theta}{\partial t}, \frac{\partial S}{\partial t}\right) = (\text{adv}_\theta, \text{adv}_S) + (\text{dif}_\theta, \text{dif}_S) + (f_\theta, f_S) \quad (9)$$

409 where  $\text{adv}_\theta, \text{adv}_S$  are respectively the advective terms for temperature and  
 410 salinity,  $\text{dif}_\theta, \text{dif}_S$ , the diffusive terms for temperature and salinity and  $f_\theta, f_S$ ,  
 411 the atmospheric forcing terms for temperature and salinity.

412 Using this decomposition (i.e. Eq. (9)) of the temperature and salinity  
 413 evolution equation and Equation (8), the time evolution of  $\rho$  is decomposed  
 414 itself into advection  $\text{adv}_\rho = -\rho_0\alpha\text{adv}_\theta + \rho_0\beta\text{adv}_S$ , parametrized diffusion  
 415  $\text{dif}_\rho = -\rho_0\alpha\text{dif}_\theta + \rho_0\beta\text{dif}_S$ , and buoyancy fluxes from the atmosphere  $\text{flu}_\rho =$   
 416  $-\rho_0\alpha f_\theta + \rho_0\beta f_S$ :

$$\frac{\partial\rho}{\partial t} = \text{adv}_\rho + \text{dif}_\rho + \text{flu}_\rho. \quad (10)$$

417 Then, inserting Equation (10) in Equation (7) gives:

$$\frac{\partial\eta}{\partial t} = \text{adv} + \text{dif} + \text{flu}. \quad (11)$$

418 where  $X = \text{adv}, \text{dif}$ , or  $\text{flu}$  is related to  $X_\rho$  through the following formula:

$$X = -\frac{1}{\rho_0} \int_{-H}^0 X_\rho dz. \quad (12)$$

419 Following previous work on density variance[31, 33, 34, 44], temperature  
 420 variance [45, 46] and also steric sea level variance [30], multiplying equation  
 421 (11) by  $\eta$  and computing the time average (over all months of the mean seasonal  
 422 cycle) gives the budget of the seasonal cycle steric sea level variance:

$$\begin{aligned} \overline{\eta \frac{\partial \eta}{\partial t}} &= \frac{1}{2} \overline{\frac{\partial \eta^2}{\partial t}} = \overline{\eta_{\text{adv}}} + \overline{\eta_{\text{dif}}} + \overline{\eta_{\text{flu}}}, \\ &= \text{VAR}_{\text{adv}} + \text{VAR}_{\text{dif}} + \text{VAR}_{\text{flu}}, \end{aligned} \quad (13)$$

423 where the overline represents the average over the seasonal cycle and  $\overline{\eta X}$  is a  
 424 2D field, it is positive (negative) when  $\overline{\eta X}$  is a source (sink) of seasonal SSL  
 425 variance. By examining the sign and relative intensity of the seasonal SSL  
 426 variance budget terms, it is then possible to determine which term is locally  
 427 driving or damping the seasonal variation of the SSL.  $\overline{\eta X}$  can be a source  
 428 (sink) in two cases: 1) if  $\eta > 0$  and  $-\int_{-H}^0 X_{\rho} dz > 0$  ( $-\int_{-H}^0 X_{\rho} dz < 0$ ) because  
 429 the last term acts to increase (decrease) the positive anomaly of  $\eta$  or 2) if  
 430  $\eta < 0$  and  $-\int_{-H}^0 X_{\rho} dz < 0$  ( $-\int_{-H}^0 X_{\rho} dz > 0$ ) because the last term acts to  
 431 increase (decrease) the magnitude of the negative anomaly of  $\eta$ . Note that,  
 432 similar to the analysis performed on SLA from AVISO, in practice the term  
 433  $\frac{\partial \eta^2}{\partial t}$  is not exactly zero because the seasonal cycle of the SSL time tendencies  
 434 is not exactly equal to the time tendencies of the SSL seasonal cycle. However,  
 435 Figure 3a shows that this term remains one order of magnitude smaller than  
 436 the other terms.

### 437 Variance budget for the spatially-averaged seasonal steric 438 sea level

In this subsection, we compute the variance budget for the spatially-averaged seasonal steric sea level. To this end, the seasonal steric sea level anomaly  $\eta$  is first spatially averaged  $\langle \eta \rangle$ , where  $\langle . \rangle$  represents the spatial average over the Northern hemisphere for latitudes North of a given limit value or over the Southern hemisphere for latitudes South of a given boundary. Then the

equation for the evolution of  $\eta$  is also spatially averaged over the same region as follows:

$$\frac{\partial \langle \eta \rangle}{\partial t} = \langle \text{adv} \rangle + \langle \text{dif} \rangle + \langle \text{flu} \rangle \quad (14)$$

439 Multiplying this equation by  $\langle \eta \rangle$  gives the budget for the variance of  $\langle \eta \rangle$ :

$$\begin{aligned} \frac{1}{2} \overline{\frac{\partial \langle \eta \rangle^2}{\partial t}} &= \overline{\langle \eta \rangle \langle \text{adv} \rangle} + \overline{\langle \eta \rangle \langle \text{dif} \rangle} + \overline{\langle \eta \rangle \langle \text{flu} \rangle}, \\ &= \text{VAR}_{\text{adv}}^{\text{H}} + \text{VAR}_{\text{dif}}^{\text{H}} + \text{VAR}_{\text{flu}}^{\text{H}}, \end{aligned} \quad (15)$$

440  $\frac{1}{2} \overline{\frac{\partial \langle \eta \rangle^2}{\partial t}}$  is the variance tendency term,  $\text{VAR}_{\text{adv}}^{\text{H}} = \overline{\langle \eta \rangle \langle \text{adv} \rangle}$ ,  $\text{VAR}_{\text{dif}}^{\text{H}} =$   
 441  $\overline{\langle \eta \rangle \langle \text{dif} \rangle}$  and  $\text{VAR}_{\text{flu}}^{\text{H}} = \overline{\langle \eta \rangle \langle \text{flu} \rangle}$  are respectively the terms associ-  
 442 ated with advection, diffusion and surface buoyancy fluxes for the variance  
 443 equation of the SSL hemispheric average.

#### 444 **Vertically-averaged density and $\text{VAR}_{\text{flu}}^{\text{H}}$**

445 Inserting Eqs. (6) in (12), and recognizing that the atmospheric density flux  
 446 is mostly due to the net atmospheric heat flux  $q$  (in  $\text{W m}^{-3}$ ) (see supporting  
 447 information Fig. S2),  $\text{VAR}_{\text{flu}}^{\text{H}}$  can be written as:

$$\text{VAR}_{\text{flu}}^{\text{H}} = \overline{\eta \text{flu}} = \frac{1}{\rho_0^2} \overline{\int_{-H}^0 \rho \, dz \int_{-H}^0 -\frac{\alpha}{C_p} q \, dz}. \quad (16)$$

448 Then, defining the vertically-averaged density anomaly as  $\rho_{\text{BT}} = \frac{1}{H} \int_{-H}^0 \rho \, dz$   
 449 and the vertically-averaged net heat flux as  $Q_{\text{BT}} = \frac{1}{H} \int_{-H}^0 -\frac{\alpha}{C_p} q \, dz$  ( $q$  is non-  
 450 zero below the surface because of the penetrating nature of the shortwave  
 451 term) in this equation one recovers Equation (4).

#### 452 **Advective term decomposition**

453 To decompose the advective term in the seasonal SSL budget, we first  
 454 decompose the advective term in the density evolution equation (10) into

455 the contributions of laminar (resolved) velocities and eddy-induced velocities  
 456 linked to the Gent and McWilliams parameterization:

$$\text{adv}_\rho = \text{adv}_\rho^{\text{laminar}} + \text{adv}_\rho^{\text{eddy}}, \quad (17)$$

457 where  $\text{adv}_{\text{laminar}}$  is the ECCO v4r3 resolved part of the seasonal advective  
 458 term and  $\text{adv}_{\text{eddy}}$  the eddy induced part of the seasonal advective term.

459 Using Equation (17),  $\text{VAR}_{\text{adv}} = \overline{\eta \text{adv}}$  can thus be decomposed into two terms

460  $\text{VAR}_{\text{adv}}^{\text{laminar}} = \overline{\eta \text{adv}_{\text{laminar}}}$  and  $\text{VAR}_{\text{adv}}^{\text{eddy}} = \overline{\eta \text{adv}_{\text{eddy}}}$ , as shown by formula (5)

461 where the notation  $\text{VAR}_{\text{adv}}^X = \overline{\eta \text{adv}_X}$  is given by:

$$\text{VAR}_{\text{adv}}^X = \overline{\eta \text{adv}_X} = -\frac{\eta}{\rho_0} \int_{-H}^0 \text{adv}_\rho^X dz, \quad (18)$$

462 where  $X$  stands for laminar or eddy.

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